Investment Experience & Risk Assessment



Client 1

Client 2

1. How long have you been investing in financial markets?

Client 1

- Less than 1 year
- 2) 1-3 years
- 3) 3-5 years
- 4) More than 5 years

2. What is your primary investment goal?

Client 1

- Capital preservation
- Income generation
- Capital growth
- Speculative gains

Client 2

- Less than 1 year
- 2) 1-3 years
- 3) 3-5 years
- More than 5 years

Client 2

- 1) Capital preservation
- Income generation
- Capital growth
- Speculative gains

3. How do you react to short-term market fluctuations?

Client 1

- I panic and want to sell
- 2) I get concerned but stay invested
- I ignore short-term fluctuations
- I see them as buying opportunities

4. What percentage of your total net worth is invested in the stock market?

Client 2 Client 1 1) Less than 10% Less than 10% 2) 10-30% 2) 10-30% 3) 30-50% 3) 30-50% More than 50% More than 50%

5. How familiar are you with different investment products (stocks, bonds, mutual funds, etc.)?

Client 1

- Not familiar
- 2) Somewhat familiar
- Moderately familiar
- Very familiar

Client 2

- Not familiar
- Somewhat familiar
- 3) Moderately familiar
- 4) Very familiar

Client 2

- I panic and want to sell
- I get concerned but stay invested
- 3) I ignore short-term fluctuations
- - I see them as buying opportunities

6. What is your risk tolerance?

Client 1

- 1) Extremely risk-averse
- 2) Conservative
- 3) Balanced
- 4) Aggressive

Client 2

- 1) Extremely risk-averse
- 2) Conservative
- 3) Balanced
- 4) Aggressive

7. How would you react if your investment portfolio declined by 20% in a short period?

Client 1

- 1) Panic and sell
- 2) Stay invested and reassess
- 3) Buy more at the lower price
- 4) See it as a normal market fluctuation

8. What is your investment time horizon?

Client 1

4) More than 10 years

- 1) Less than 1 year 2) 1-5 years 2) 1-5 years 3) 5-10 years 3) 5-10 years
- 9. Have you ever invested in high-risk assets (e.g., cryptocurrencies, startups)?

Client 1		Client 2
No	1)	No
Rarely	2)	Rarely
Occasionally	3)	Occasionally
Frequently	4)	Frequently
	Client 1 No Rarely Occasionally	Client 1No1)Rarely2)Occasionally3)

10. How important is it for you to beat the market average returns?

Client 1 Client 2 1) Not important 1) Not important 2) Somewhat important 2) Somewhat important 3) Moderately important 3) Moderately important

4) Very important

4) Very important

1) Panic and sell

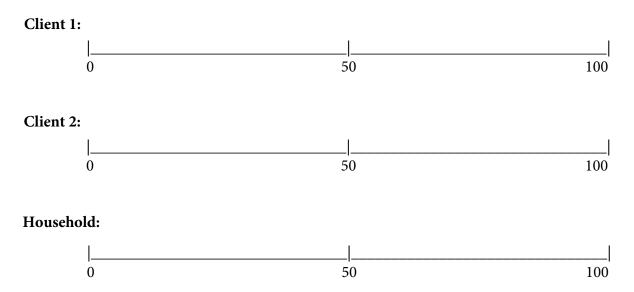
Client 2

- 2) Stay invested and reassess
- 3) Buy more at the lower price
- 4) See it as a normal market fluctuation

Client 2

- 1) Less than 1 year
- 4) More than 10 years

11. Please rate your risk tolerance on a scale of 0 (all cash) to 100 (all stocks).



Please list out your historical investing experience (e.g. your experiences working with a financial professional).

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